CARDICA, INC.____

| FORM PTO-1449 | ATTY. DOCY NO. 057 | SERIAL NO. 10/054745 | | |
|--|------------------------------------|-------------------------|--|--|
| LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | APPLICANT: Brendan, Donohoe et al. | | | |
| (Us several sh ts if necessary) | FILING DATE: 1/22/02 | GROUP: 3731 | | |

| | | U.S. PATEN | IT DOCUMENTS | | | Y |
|---------------------|-----------------|------------|---------------------|-------|--------------|-------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
| RA | 3,254,650 | 6/7/66 | COLLITO | | | |
| | 3,519,187 | | KAPITANOV, ET AL | | | |
| | 3,577,979 | 5/11/71 | GAAST van der Gaast | | | |
| nge(s) applied | 3,774,615 | 11/27/73 | LIM, ET AL | | | |
| ocument, | 4,018,228 | 4/19/77 | GOOSEN | | | |
| MS/ | 4,076,162 | 2/28/78 | KAPITANOV ET AL | | | |
| | 4,118,806 | 10/10/78 | PORIER ET AL | | | |
| 1/2011 | 4,214,587 | 7/29/80 | SAKURA JR | | | |
| | 4,216,776 | 8/12/80 | DOWNIE, ET AL | | | |
| | 4,217,664 | 8/19/80 | FASO | | | |
| | 4,350,160 | 9/21/92 | KOLESOV, ET AL | | | |
| | 4,352,358 | | ANGELCHIK | | | |
| | 4,366,819 | 1/4/83 | KASTER | | | |
| | 4,368,736 | 1/18/83 | KASTER | | | · · · |
| | 4,503,568 | 3/12/85 | MADRAS | | | |
| | 4,523,592 | 6/18/85 | DANIEL | | | |
| | 4,534,761 | 8/13/85 | RAIBLE | | | |
| | 4,553,542 | 11/19/85 | SCHENCK, ET AL | | | |
| | 4,589,416 | 5/20/86 | GREEN | | | |
| | 4,593,693 | 6/10/86 | SCHENCK | | 1. | • |
| | 4,603,693 | 8/5/86 | CONTA, ET AL | | | |
| | 4,607,637 | | BERGGREN, ET AL | | | |
| | 4,624,255 | | SCHENCK, ET AL | | | |
| | 4,624,257 | | BERGGREN, ET AL | | | |
| | 4,657,019 | | WALSH, ET AL | · | | |
| 7 | 4,665,906 | 5/19/87 | | | | |

| | | FOREIG | N PATENT DOCUMENTS | | | | |
|---------------------|---------------------|-------------|---------------------------------|----------------|--------------|--------------|--------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANS YES | NOITAL ON |
| | | | | | <u> </u> | | |
| | OTHER DOCUMEN | TS (Includi | ng Author, Title, Date, Pertine | ent Pages, etc | ÷.) | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | |
|--|-----|-----|------|-------------|-------------|
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| EXAMIN | ER: | 1 1 | DATE | CONSIDERED: | |

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

Information Disclosure Statement - Section 9 PTO-1449

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